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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: McConnell, et al. ) Docket No: H0001668  
)  
)  
For: Automatic Mode Transitions for )  
Microturbine Generating Systems ) Group Art Unit: 2838  
)  
Serial No.: 09/923,713 )  
)  
Filing Date: 8/7/01 )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
And Trademarks  
Washington, D.C. 20231

Assistant Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed below and identified on the attached form PTO/SB/1449 for review by the Examiner during the examination of the above-referenced application. A copy of each document is provided for the Examiner's use. This Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. §1.97(b)(3).

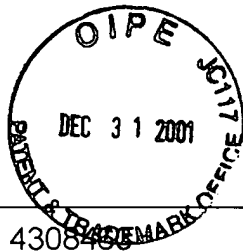
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<b>U.S. PATENTS</b>		
Patent Number	Date	Name
4029951	6/14/77	Berry, et al.
4031407	6/21/77	Reed
4195231	3/25/80	Reed, et al.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, DC 20231 on November 16, 2001.

By   
Stephen A. Slusher, Reg. No. 43,924



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4308455	12/29/81	Giras, et al.
4455614	6/19/84	Martz, et al.
4536126	8/20/85	Reuther
5855112	1/5/99	Bannai, et al.
5929538	7/27/99	O'Sullivan, et al.
5994872	11/30/99	Hall
6031294	2/29/00	Geis, et al.
6055163	4/25/00	Wagner, et al.
6064122	5/16/00	McConnell
6072302	6/6/00	Underwood, et al.
6093975	7/25/00	Peticolas
6118238	9/12/00	Munro, et al.
6128204	10/3/00	Munro, et al.
6147414	11/14/00	McConnell, et al.
6169334	1/2/01	Edelman
<b>FOREIGN PATENTS</b>		
Patent Number	Date	Name
EP0472294A1	7/24/91	Europe - Szewczuk
WO97/09524	3/13/97	Japan - Bannai

Although Applicants have not submitted a concise explanation of the relevance of patent number WO1-9709524, Applicants hereby submit a copy of patent number 5855112, which is an English-language equivalent of patent number WO1-9709524.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

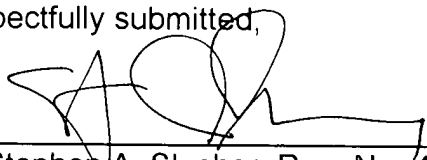


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Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO/SB/1449 be returned in accordance with MPEP, §609.

Respectfully submitted,

By:

  
Stephen A. Slusher, Reg. No. 43,924  
Attorney for Applicants

Date: November 16, 2001

Honeywell Power Systems Inc.  
Law Department  
8725 Pan American Freeway NE  
Albuquerque, NM 87113  
Telephone No.: (505) 798-6404  
Fax: (505) 798-6488